



Cash & Derivatives Segment
(Clearing cum Trading Member)

37-38, 'B' Block, 2nd Floor, Ajanta
Comm. Centre,
Income Tax, Ashram Road,
Ahmedabad-14, India.
Phone : (079) 27540518, 0444, 3645
Fax : (079) 2754 4137
Email : info@investmentoronline.com

Client Registration Form For Online Trading

Client Code:

This information is the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of clients. In case of joint Account, each Client has to use one Registration Form. In case of joint names / Family Members, please submit form for each person.

Photograph
Please sign
across the
photograph

Account : Individual HUF Partnership Firm CO FII FI NBFC
Residential Status : Resident Individual NRI

Name of the Individual

Name of the Constituent:
(In case of Non-Individual)

Date of Birth /
Incorporation :

Date of Commencement :

Sex : Male Female

Marital Status : Married Single

Occupation : Employed Self - Employed Business Professional House-Wife Others

UIN (MAPIN ID):

PAN/GIR NO.:

(A) Residence / Registered Office Address

(B) Office Address

Pin code:
(To be filled compulsorily)
Phone(R) _____ Mobile _____
(To be filled compulsorily)

Pin code:
(To be filled compulsorily)
If employed, Employer's Name
: _____
working since : _____ Designation :

Phone: _____ Fax :

(To be filled compulsorily)

Email :
(To be filled compulsorily)

Correspondence Address : A B

(HDFC Bank Proof Compulsory)

Bank Name: HDFC Bank
Branch & Address :

A/c No:
Customer ID:
Date of opening :
A/c Type : Savings Current NRI

(Demat Proof Compulsory)

DP Name: Investor Securities Ltd.

DP ID : IN301233

Client ID :

I/We hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I/We undertake to inform you of any changes therein immediately. In cash any of the above information is found to be false or untrue or misleading or misrepresenting I am / We are aware that I am / We are be held liable for it.

Place :
Date:

Client Signature
(In case of Non - Individual attest with the Company Seal)

ANNEXURE B

MEMBER-CLIENT AGREEMENT

This agreement is made at _____ this _____ day of _____ between INVESTMENTOR SECURITIES LTD, (member of National Stock Exchange of India Ltd. with SEBI Registration No.: INB 230782537, INF 230782537, and member of Bombay Stock Exchange Ltd. with SEBI Registration No.: INB010782531), hereinafter called MEMBER and having its registered office at 37-38, B-Block, 2nd Floor, Ajanta commercial centre, Nr. Income Tax Circle, Ashram Road, Ahmedabad: 380014 and _____ a individual/company/trust/firm or any other body duly formed and registered under the relevant Act, hereinafter called the CLIENT, having its residence/registered office at _____

WITNESSTH:

Whereas the MEMBER is registered as TRADING MEMBER of National Stock Exchange of India Ltd. with SEBI Registration No.: INB 230782537, INF 230782537, INB010782531.

Whereas the CLIENT is desirous of investing/trading in those securities admitted for dealing on the Exchange as defined in the Bye-Laws of the Exchange.

Whereas the CLIENT has satisfied itself of the capability of the MEMBER to deal in securities and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER before executing orders through him.

Whereas the MEMBER has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and investment objectives relevant to the services to be provided.

Whereas the MEMBER has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER's liability for business to be conducted, including any limitation on that liability and the capacity in which it acts.

In consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations and guidelines issued by in SEBI and Stock Exchange rules, regulations and Bye-laws that may be in force from time to time.
2. In the event of death or insolvency of the client or his otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, MEMBER may close out the transaction of the client and the client or his legal representative shall be liable for any losses, costs and be entitled to any surplus which may result therefrom.
3. The agreement entered into between the MEMBER and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one month written notice. Such cancellation or termination shall not have any effect on transaction executed before the date of such notice of termination and the parties shall enjoy the same rights and shall have some obligation in respect of such transactions.
4. The instructions issued by an authorized representative of the client shall be binding on the client in accordance with the latter authorizing the said representative to deal on behalf of the client.

5. The CLIENT is aware that authentication technologies and strict security measures are required for the internet trading through order routed system and undertakes to ensure that the password of the CLIENT and/or his authorised representative are not revealed to any third party.
6. The CLIENT agrees that the MEMBER shall not be liable or responsible for non-execution of the orders of the CLIENT due to any link/system failure at the CLIENT/ MEMBERS/ EXCHANGE end.
7. The Stock Exchange may cancel a trade suo-moto without giving any reason thereof. In the event of such cancellation, MEMBER shall be entitled to cancel relative contract(s) with CLIENT.
8. The MEMBER shall also send the Order/Trade confirmation slip through E-mail to the CLIENT at his request, within _____ (time period as specified by the Client) from the time of execution of order/trade on the NEAT system, as the case may be. The CLIENT agrees that the information sent by MEMBER by E-mail is deemed to be a valid delivery of such information by the MEMBER.
9. The CLIENT is aware that the MEMBER has provided on the web site a facility for reconfirmation of orders, which are larger than that specified by the MEMBER's risk management, by the MEMBER and is also aware that the MEMBER has the discretion to reject the execution of such orders based on his risk perception.
10. The Member and the Client are aware of the provisions of Bye-Laws, Rules and regulations of the Exchange relating to resolution of disputes/differences through the mechanism of arbitration provided by the Exchange and agree to abide by the said provisions.
11. All trades, transactions and contracts are subject to the Bye-Laws, Rules and Regulation of the Exchange and shall be deemed to be and shall take effect as wholly made, entered into and to be performed in the city of Mumbai and the parties to such trade shall be deemed to have submitted to the jurisdiction of the Courts in Mumbai for the purpose of giving effect to the provisions of the Rules and Regulations of the Exchange.

IN WITNESS THEREOF, the parties to agreement have caused these presents to be executed as of the day and year first above written.

Signed for and on behalf of

MEMBER : InvestMentor Securities Ltd.

By :

Signature :

Title :

Witness :

Signed for and on behalf of

CLIENT :

By :

Signature :

Title :

Witness :